Omicron infection of vaccinated individuals enhances neutralizing immunity

against the Delta variant 3

2

- Khadija Khan^{1,2#}, Farina Karim^{1,2#}, Sandile Cele^{1,2}, James Emmanuel San³, Gila Lustig⁴, Houriiyah 4
- Tegally^{3,5}, Yuval Rosenberg⁶, Mallory Bernstein¹, Yashica Ganga¹, Zesuliwe Jule¹, Kajal Reedoy¹, 5
- Nokuthula Ngcobo¹, Matilda Mazibuko¹, Ntombifuthi Mthabela¹, Zoey Mhlane¹, Nikiwe Mbatha¹, 6
- 7 Yoliswa Miya¹, Jennifer Giandhari³, Yajna Ramphal³, Taryn Naidoo¹, Nithendra Manickchund⁷,
- Nombulelo Magula⁸, Salim S. Abdool Karim^{4,9}, Glenda Gray¹⁰, Willem Hanekom^{1,11}, Anne von 8
- Gottberg^{12,13}, , COMMIT-KZN Team[§], Ron Milo⁶, Bernadett I. Gosnell⁷, Richard J. Lessells^{3,4}, Penny L. 9
- Moore^{4,12,13,14}, Tulio de Oliveira^{3,4,5,15}, Mahomed-Yunus S. Moosa⁷, Alex Sigal^{1,2,16*} 10
- ¹Africa Health Research Institute, Durban, South Africa. ²School of Laboratory Medicine and Medical 11
- 12 Sciences, University of KwaZulu-Natal, Durban, South Africa. ³KwaZulu-Natal Research Innovation
- 13 and Sequencing Platform, Durban, South Africa. ⁴Centre for the AIDS Programme of Research in
- 14 South Africa, Durban, South Africa. 5Centre for Epidemic Response and Innovation, School of Data
- 15 Science and Computational Thinking, Stellenbosch University, Stellenbosch, South Africa.
- ⁶Department of Plant and Environmental Sciences, Weizmann Institute of Science, Rehovot, Israel. 16
- 17 ⁷Department of Infectious Diseases, Nelson R. Mandela School of Clinical Medicine, University of
- 18 KwaZulu-Natal, Durban, South Africa. ⁸Department of Internal Medicine, Nelson R. Mandela School
- 19 of Medicine. University of Kwa-Zulu Natal. Department of Epidemiology, Mailman School of Public
- 20 Health, Columbia University, New York, NY, United States. ¹⁰South African Medical Research Council,
- 21 Cape Town, South Africa. ¹¹Division of Infection and Immunity, University College London, London,
- 22 UK. ¹²National Institute for Communicable Diseases of the National Health Laboratory Service,
- Johannesburg, South Africa. 13SAMRC Antibody Immunity Research Unit, School of Pathology, Faculty 23
- 24 of Health Sciences, University of the Witwatersrand, Johannesburg, South Africa. ¹⁴Institute of
- 25 Infectious Disease and Molecular Medicine, University of Cape Town, Cape Town, South Africa.
- 26 ¹⁵Department of Global Health, University of Washington, Seattle, USA. ¹⁶Max Planck Institute for
- 27 Infection Biology, Berlin, Germany.
- #Equal contribution. 28
- 29 * Corresponding author. Email: alex.sigal@ahri.org
- 30 Omicron variant (B.1.1.529) infections are rapidly expanding worldwide, often in settings where the
- 31 Delta variant (B.1.617.2) was dominant. We investigated whether neutralizing immunity elicited by
- 32 Omicron infection would also neutralize the Delta variant and the role of prior vaccination. We
- 33 enrolled 23 South African participants infected with Omicron a median of 5 days post-symptoms
- 34 onset (study baseline) with a last follow-up sample taken a median of 23 days post-symptoms onset.
- 35 Ten participants were breakthrough cases vaccinated with Pfizer BNT162b2 or Johnson and Johnson
- 36 Ad26.CoV2.S. In vaccinated participants, neutralization of Omicron increased from a geometric
- 37 mean titer (GMT) FRNT₅₀ of 28 to 378 (13.7-fold). Unvaccinated participants had similar Omicron
- 38 neutralization at baseline but increased from 26 to only 113 (4.4-fold) at follow-up. Delta virus
- 39 neutralization increased from 129 to 790, (6.1-fold) in vaccinated but only 18 to 46 (2.5-fold, not
- 40 statistically significant) in unvaccinated participants. Therefore, in Omicron infected vaccinated
- 41 individuals, Delta neutralization was 2.1-fold higher at follow-up relative to Omicron. In a separate
- 42 group previously infected with Delta, neutralization of Delta was 22.5-fold higher than Omicron.
- Based on relative neutralization levels, Omicron re-infection would be expected to be more likely 43
- 44 than Delta in Delta infected individuals, and in Omicron infected individuals who are vaccinated.
- 45 This may give Omicron an advantage over Delta which may lead to decreasing Delta infections in
- 46 regions with high infection frequencies and high vaccine coverage.
- NOTE: This preprint reports new research that has not been certified by peer review and should not be used to guide clinical practice. 47

The Omicron variant of SARS-CoV-2, first identified in November 2021 in South Africa and Botswana¹, has been shown by us² and others³⁻⁸ to have extensive but incomplete escape from immunity elicited by vaccines and previous infection, with boosted individuals showing better neutralization. In South Africa, Omicron infections led to a lower incidence of severe disease relative to other variants^{9,10}, although this can be at least partly explained by pre-existing immunity². While Omicron infections are rising steeply, many countries still have high levels of Delta variant infection. How Delta and Omicron will interact is still unclear. One possibility is that Omicron and Delta will coexist, and another is that Omicron will curtail the spread of Delta by eliciting a neutralizing immune response against Delta in Omicron convalescents, so that Delta could not effectively re-infect.

48

49

50 51

52

53

54

55

56

57

58

59

60

61

62

63

64 65

66 67

68

69

70

71

72

73

74

75

76

77

78

79

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

We investigated whether Omicron infection elicits neutralizing immunity to the Delta virus. We isolated Omicron virus from an infection in South Africa (see Table S1 for detailed genotypic information of the viral isolate used). We neutralized this isolate with plasma from participants enrolled during the Omicron infection wave in South Africa, with each participant having a confirmed diagnosis of SARS-CoV-2 by qPCR. To quantify neutralization, we used a live virus neutralization assay and calculated the focus reduction neutralization test (FRNT₅₀) value, the inverse of the plasma dilution required for 50% neutralization, as measured by the reduction in the number of infection foci. We enrolled 25 participants late November and December 2021. Two participants had advanced HIV disease based on a low CD4 count (<50 cells/uL) and unsuppressed HIV infection. Our previous data indicated an atypical response to SARS-CoV-2 infection in advanced HIV disease¹¹ and we excluded the two participants from this analysis. Table S2 summarizes the characteristics of the remaining 23 participants.

Fourteen out of 23 participants were admitted to hospital because of Covid-19 symptoms, but only one required supplemental oxygen. Ten participants were vaccinated and had a breakthrough Omicron infection. Five were vaccinated with two doses of Pfizer-BNT162b2 and 5 with Johnson and Johnson Ad26.CoV2.S, with one Ad26.CoV2.S vaccinee being boosted with a second Ad26.CoV2.S dose (Table S3). Out of the 23 participants, only 3 (1 vaccinated and 2 unvaccinated) self-reported having a previous SARS-CoV-2 infection (Table S3). Participants were sampled at enrollment, which was a median of 5 days (interquartile range 3-8 days) post-symptom onset, and again at weekly follow-up visits which were attended as practicable because of the Christmas holidays in South Africa. The last follow-up visit was a median of 23 days (interquartile range 17-25 days) post-symptom onset (Table S2). Virus from the upper respiratory tract from each participant was sampled using a combined nasopharyngeal and oropharyngeal swab, and all viruses successfully sequenced were confirmed to be Omicron (Table S3).

We analyzed neutralization at enrollment (baseline for the study) and the last follow-up visit. We observed that Omicron neutralization increased in vaccinated individuals from a low geometric mean titer (GMT) FRNT₅₀ of 28 at the enrollment visit to FRNT₅₀ = 378 at last follow-up, a 13.7-fold increase (95% CI 3.8-49.5, Fig 1A). The samples from unvaccinated participants neutralized at a similar starting level at study baseline (FRNT₅₀ = 26) but reached a lower final level (FRNT₅₀ = 113) at last follow-up, a 4.4-fold increase (95% CI 1.4-13.5, Fig 1B).

Neutralization of Delta virus increased during this period in the vaccinated individuals. At enrollment, neutralization capacity against Delta virus was higher than against Omicron (FRNT₅₀ = 129) and reached FRNT₅₀ = 790 at last follow-up, a 6.1-fold increase (95% CI 1.8-20.7, Fig 1C). The unvaccinated had lower Delta neutralization at baseline with Delta virus FRNT₅₀ = 18, and reached FRNT₅₀ = 46, a non-statistically significant 2.5-fold increase (95% CI 0.9-7.0, Fig 1D).

Comparing Omicron and Delta neutralization at the last available follow-up visit showed that vaccinated participants were able to mount a better neutralizing response against the Delta virus than against the Omicron virus: neutralization of Delta virus was 2.1-fold higher than Omicron (Fig 1E, 95%

- 95 CI 1.5-2.9). In contrast, in unvaccinated participants, neutralization of Delta was 2.5-fold lower relative 96 to Omicron (95% CI 1.1-5.8), although this was not statistically significant (Fig 1F).
- 97 Examining neutralization at all available timepoints per study participant showed that neutralization
- 98 of the Omicron variant seemed to peak approximately 2 weeks post-reported symptom onset date
- 99 (Fig 2). The pattern in vaccinated individuals showed a high degree of uniformity, with a rise in
- 100 Omicron neutralization capacity mirrored by a rise in Delta neutralization capacity in 9 out of 10
- 101 vaccinated participants, and with Delta neutralization level very similar to or higher than Omicron
- neutralization level. In contrast, the pattern in unvaccinated participants was much more variable, 102
- 103 with neutralization of Omicron visibly stronger than neutralization of Delta virus in 6 out of 13
- 104 participants.
- 105 We also tested neutralization of Omicron by Delta variant elicited immunity. We collected 18 plasma
- 106 samples from a group of 14 participants previously infected in the Delta variant wave in South Africa,
- 107 some of whom were vaccinated either before or after infection (Table S4; for 4 of the vaccinated
- 108 participants, a sample was available post-infection, and then again post-vaccination). Confirming
- 109 previously reported results⁷, we observed extensive escape of the Omicron viral isolate used here
- 110 from Delta elicited immunity across all samples tested. This was manifested as a 22.5-fold decrease
- 111 (95% CI 14.4-35.0, Fig 3) of Omicron virus neutralization compared to Delta virus neutralization.
- The variability in Delta virus neutralization which we observed in the responses of unvaccinated 112
- 113 participants may be because of previous unreported infection in some individuals, which could
- 114 potentially confer a degree of Delta immunity. In contrast, vaccinated participants all had previous
- 115 SARS-CoV-2 immunity from vaccination. They showed a stronger rise in Omicron neutralization and
- 116 stronger enhancement of immunity to the Delta variant relative to unvaccinated participants. The 117 dependence of Delta neutralization enhancement on previous immunity may indicate that
- 118 enhancement may rely on boosting previous SARS-CoV-2 immunity rather than elicit antibodies that
- 119 can specifically recognize and neutralize both Omicron and Delta.
- 120 Vaccination leads а lower hospitalization Omicron infection rate with
- 121 (https://www.discovery.co.za/corporate/health-insights-vaccines-real-world-effectiveness). This may
- be because Omicron does not have extensive escape from other arms of the adaptive immune 122
- response¹² or because Omicron virus shows attenuated cell-to-cell spread¹³ which leads to decreased 123
- lung infection and pathology^{14,15}. A stronger neutralizing response after Omicron infection, as shown 124
- here in vaccinated participants, should also contribute to vaccine mediated protection against more 125
- 126 severe disease with Omicron.
- 127 A limitation of this study is the heterogeneity in participant immune history. Among the 10 vaccinated,
- 128 there were two vaccination types and one participant was boosted. Among the unvaccinated, it is
- likely that some had unreported previous infection, based on the high seroprevalence observed in 129
- South Africa^{16,17}. This heterogeneity is reflective of the increasing complexity of the SARS-CoV-2 130
- immunity landscape as more people are infected with different variants and vaccinated with different 131
- 132 vaccines. Despite the heterogeneity, there was a clear increase in both Omicron and Delta
- 133 neutralization in the vaccinated group. In contrast, the trend in unvaccinated participants for Delta
- immunity was less clear. The majority of our participants were hospitalized which may be perceived 134
- as a limitation since Omicron infection is generally mild¹⁸. However, hospital admission should not be 135
- equated with severe disease. None of the participants in this study had severe disease as defined by 136
- 137 the WHO ordinal scale, which requires at a minimum administration of high-flow oxygen¹⁹.
- 138 Work by us² and others³⁻⁸ shows that residual vaccine elicited neutralizing immunity Omicron remains
- 139 when neutralization capacity of pre-Omicron strains is high. Here we investigate a different situation,
- 140 where there is breakthrough Omicron infection in vaccinated participants. Omicron neutralization
- capacity in these breakthrough cases was low and similar to the unvaccinated close to the time of 141
- 142 infection. This is consistent with the notion that the reason these breakthroughs occurred was

perpetuity. It is made available under a CC-BY-ND 4.0 International license .

because of the low Omicron neutralization levels, likely the product of the antibody immune response waning, as vaccination was about four and a half months before infection. Despite low initial neutralization levels similar to the unvaccinated group, the response to Omicron was stronger in vaccinated participants and increased 13.7-fold between the enrollment and follow-up visits. As expected, Delta neutralization was higher in the vaccinated, and this also showed a stronger increase.

Based on neutralization levels, the immunity elicited in vaccinated individuals by Omicron infection is more potent against Delta relative to Omicron by a factor of approximately 2. Neutralization elicited by Delta infection is also more potent against Delta relative to Omicron, by a factor of over 20-fold. This may mean that in areas with a high frequency of infections and high vaccination coverage, Omicron is more likely than Delta to re-infect individuals who were previously infected either by Delta or Omicron. It may therefore dominate infections at the expense of Delta. In contrast, because unvaccinated individuals infected with Omicron develop a poor neutralization response against Delta, what may happen in areas of low vaccination coverage is less clear.

Materials and methods

143

144

145146

147

148

149

150 151

152

153

154

155

156

157

158

159

160

Informed consent and ethical statement

- 161 Blood samples were obtained after written informed consent from adults with PCR-confirmed SARS-
- 162 CoV-2 infection who were enrolled in a prospective cohort study approved by the Biomedical Research
- 163 Ethics Committee at the University of KwaZulu-Natal (reference BREC/00001275/2020). Use of
- residual swab sample for SARS-0CoV-2 isolation was approved by the University of the Witwatersrand
- Human Research Ethics Committee (HREC) (ref. M210752).

166 <u>Data availability statement</u>

- 167 Sequence of outgrown virus has been deposited in GISAID with accession EPI_ISL_7886688. Raw
- images of the data are available upon reasonable request.
- 169 <u>Code availability</u>
- 170 Curve fitting scripts in MATLAB v.2019b are available on GitHub
- 171 (https://github.com/sigallab/NatureMarch2021).
- 172 Whole-genome sequencing, genome assembly and phylogenetic analysis
- 173 RNA was extracted on an automated Chemagic 360 instrument, using the CMG-1049 kit (Perkin Elmer,
- 174 Hamburg, Germany). The RNA was stored at −80°C prior to use. Libraries for whole genome
- 175 sequencing were prepared using either the Oxford Nanopore Midnight protocol with Rapid Barcoding
- or the Illumina COVIDseq Assay. For the Illumina COVIDseq assay, the libraries were prepared
- according to the manufacturer's protocol. Briefly, amplicons were tagmented, followed by indexing
- using the Nextera UD Indexes Set A. Sequencing libraries were pooled, normalized to 4 nM and
- denatured with 0.2 N sodium acetate. A 8 pM sample library was spiked with 1% PhiX (PhiX Control v3 adaptor-ligated library used as a control). We sequenced libraries on a 500-cycle v2 MiSeq Reagent
- 181 Kit on the Illumina MiSeq instrument (Illumina). On the Illumina NextSeq 550 instrument, sequencing
- was performed using the Illumina COVIDSeq protocol (Illumina Inc, USA), an amplicon-based next-
- generation sequencing approach. The first strand synthesis was carried using random hexamers
- primers from Illumina and the synthesized cDNA underwent two separate multiplex PCR reactions.
- 185 The pooled PCR amplified products were processed for tagmentation and adapter ligation using IDT

perpetuity.
It is made available under a CC-BY-ND 4.0 International license .

for Illumina Nextera UD Indexes. Further enrichment and cleanup was performed as per protocols provided by the manufacturer (Illumina Inc). Pooled samples were quantified using Qubit 3.0 or 4.0 fluorometer (Invitrogen Inc.) using the Qubit dsDNA High Sensitivity assay according to manufacturer's instructions. The fragment sizes were analyzed using TapeStation 4200 (Invitrogen). The pooled libraries were further normalized to 4nM concentration and 25 μL of each normalized pool containing unique index adapter sets were combined in a new tube. The final library pool was denatured and neutralized with 0.2N sodium hydroxide and 200 mM Tris-HCL (pH7), respectively. 1.5 pM sample library was spiked with 2% PhiX. Libraries were loaded onto a 300-cycle NextSeq 500/550 HighOutput Kit v2 and run on the Illumina NextSeq 550 instrument (Illumina, San Diego, CA, USA). For Oxford Nanopore sequencing, the Midnight primer kit was used as described by Freed and Silander55. cDNA synthesis was performed on the extracted RNA using LunaScript RT mastermix (New England BioLabs) followed by gene-specific multiplex PCR using the Midnight Primer pools which produce 1200bp amplicons which overlap to cover the 30-kb SARS-CoV-2 genome. Amplicons from each pool were pooled and used neat for barcoding with the Oxford Nanopore Rapid Barcoding kit as per the manufacturer's protocol. Barcoded samples were pooled and bead-purified. After the bead clean-up, the library was loaded on a prepared R9.4.1 flow-cell. A GridION X5 or MinION sequencing run was initiated using MinKNOW software with the base-call setting switched off. We assembled paired-end and nanopore.fastq reads using Genome Detective 1.132 (https://www.genomedetective.com) which was updated for the accurate assembly and variant calling of tiled primer amplicon Illumina or Oxford Nanopore reads, and the Coronavirus Typing Tool56. For Illumina assembly, GATK HaploTypeCaller -min-pruning 0 argument was added to increase mutation calling sensitivity near sequencing gaps. For Nanopore, low coverage regions with poor alignment quality (<85% variant homogeneity) near sequencing/amplicon ends were masked to be robust against primer drop-out experienced in the Spike gene, and the sensitivity for detecting short inserts using a region-local global alignment of reads, was increased. In addition, we also used the wf_artic (ARTIC SARS-CoV-2) pipeline as built using the nextflow workflow framework57. In some instances, mutations were confirmed visually with .bam files using Geneious software V2020.1.2 (Biomatters). The reference genome used throughout the assembly process was NC_045512.2 (numbering equivalent to MN908947.3). For lineage classification, we used the widespread dynamic lineage classification method from the 'Phylogenetic Assignment Named Global Outbreak Lineages' (PANGOLIN) software (https://github.com/hCoV-2019/pangolin)19. P2 stock was sequenced and confirmed Omicron with following substitutions: E:T9I,M:D3G,M:Q19E,M:A63T,N:P13L,N:R203K,N:G204R,ORF1a:K856R,ORF1a:L2084I,ORF1a:A2710T, ORF1a:T3255I,ORF1a:P3395H,ORF1a:I3758V,ORF1b:P314L,ORF1b:I1566V,ORF9b:P10S,S:A67V,S:T95I ,S:Y145D,S:L212I,S:G339D,S:S371L,S:S373P,S:S375F,S:K417N,S:N440K,S:G446S,S:S477N,S:T478K,S:E4 84A,S:Q493R,S:G496S,S:Q498R,S:N501Y,S:Y505H,S:T547K,S:D614G,S:H655Y,S:N679K,S:P681H,S:N76 4K,S:D796Y,S:N856K,S:Q954H,S:N969K,S:L981F. Sequence was deposited in GISAID, accession: EPI_ISL_7886688.

224 <u>Cells</u>

186

187

188

189 190

191

192

193

194

195

196

197

198

199

200

201

202

203

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218

219

220

221222

223

225

226

227

228

229

230

231

Vero E6 cells (ATCC CRL-1586, obtained from Cellonex in South Africa) were propagated in complete growth medium consisting of Dulbecco's Modified Eagle Medium (DMEM) with 10% fetal bovine serum (Hyclone) containing 10mM of HEPES, 1mM sodium pyruvate, 2mM L-glutamine and 0.1mM nonessential amino acids (Sigma-Aldrich). Vero E6 cells were passaged every 3–4 days. H1299 cell lines were propagated in growth medium consisting of complete Roswell Park Memorial Institute (RPMI) 1640 medium with 10% fetal bovine serum containing 10mM of HEPES, 1mM sodium pyruvate, 2mM L-glutamine and 0.1mM nonessential amino acids. H1299 cells were passaged every second day. The

perpetuity.

It is made available under a CC-BY-ND 4.0 International license .

232 H1299-E3 (H1299-ACE2, clone E3) cell line was derived from H1299 (CRL-5803) as described in our

previous work^{2,20}.

Virus expansion

234

235236

237238

239

240

241

242

243

244

245

246

247

248

249

250

251

252

253

254

255

256

257

258

259

260

261

262

263

264

265

266

267

All work with live virus was performed in Biosafety Level 3 containment using protocols for SARS-CoV-2 approved by the Africa Health Research Institute Biosafety Committee. ACE2-expressing H1299-E3 cells were seeded at 4.5 × 10⁵ cells in a 6 well plate well and incubated for 18–20 h. After one DPBS wash, the sub-confluent cell monolayer was inoculated with 500 μL universal transport medium diluted 1:1 with growth medium filtered through a 0.45-μm filter. Cells were incubated for 1 h. Wells were then filled with 3 mL complete growth medium. After 4 days of infection (completion of passage 1 (P1)), cells were trypsinized, centrifuged at 300 rcf for 3 min and resuspended in 4 mL growth medium. Then all infected cells were added to Vero E6 cells that had been seeded at 2 × 10⁵ cells per mL, 20mL total, 18–20 h earlier in a T75 flask for cell-to-cell infection. The coculture of ACE2-expressing H1299-E3 and Vero E6 cells was incubated for 1 h and the flask was filled with 20 mL of complete growth medium and incubated for 4 days. The viral supernatant from this culture (passage 2 (P2) stock) was used for experiments.

Live virus neutralization assay

H1299-E3 cells were plated in a 96-well plate (Corning) at 30,000 cells per well 1 day pre-infection. Plasma was separated from EDTA-anticoagulated blood by centrifugation at 500 rcf for 10 min and stored at -80 °C. Aliquots of plasma samples were heat-inactivated at 56 °C for 30 min and clarified by centrifugation at 10,000 rcf for 5 min. Virus stocks were used at approximately 50-100 focus-forming units per microwell and added to diluted plasma. Antibody-virus mixtures were incubated for 1 h at 37 °C, 5% CO_2 . Cells were infected with 100 μL of the virus-antibody mixtures for 1 h, then 100 μL of a 1X RPMI 1640 (Sigma-Aldrich, R6504), 1.5% carboxymethylcellulose (Sigma-Aldrich, C4888) overlay was added without removing the inoculum. Cells were fixed 18 h post-infection using 4% PFA (Sigma-Aldrich) for 20 min. Foci were stained with a rabbit anti-spike monoclonal antibody (BS-R2B12, GenScript A02058) at 0.5 μg/mL in a permeabilization buffer containing 0.1% saponin (Sigma-Aldrich), 0.1% BSA (Sigma-Aldrich) and 0.05% Tween-20 (Sigma-Aldrich) in PBS. Plates were incubated with primary antibody overnight at 4 °C, then washed with wash buffer containing 0.05% Tween-20 in PBS. Secondary goat anti-rabbit HRP conjugated antibody (Abcam ab205718) was added at 1 µg/mL and incubated for 2 h at room temperature with shaking. TrueBlue peroxidase substrate (SeraCare 5510-0030) was then added at 50 µL per well and incubated for 20 min at room temperature. Plates were imaged in an ImmunoSpot Ultra-V S6-02-6140 Analyzer ELISPOT instrument with BioSpot Professional built-in image analysis (C.T.L).

Statistics and fitting

- 268 All statistics and fitting were performed using custom code in MATLAB v.2019b. Neutralization data
- were fit to:
- 270 $Tx=1/1+(D/ID_{50})$.
- Here Tx is the number of foci normalized to the number of foci in the absence of plasma on the same
- plate at dilution D and ID₅₀ is the plasma dilution giving 50% neutralization. FRNT₅₀ = $1/ID_{50}$. Values of
- 273 FRNT₅₀ <1 are set to 1 (undiluted), the lowest measurable value. We note that the most concentrated
- 274 plasma dilution was 1:25 and therefore FRNT₅₀ < 25 were extrapolated.

275 Acknowledgements

- 276 This study was supported by the Bill and Melinda Gates award INV-018944 (AS), National Institutes of
- 277 Health award R01 Al138546 (AS), and South African Medical Research Council awards (AS, TdO, PLM)
- 278 and the UK Foreign, Commonwealth and Development Office and Wellcome Trust (Grant no
- 279 221003/Z/20/Z, PLM). PLM is also supported by the South African Research Chairs Initiative of the
- Department of Science and Innovation and the NRF (Grant No 98341). The funders had no role in study 280
- 281 design, data collection and analysis, decision to publish, or preparation of the manuscript.

References

282

- 283 1 Viana, R. et al. Rapid epidemic expansion of the SARS-CoV-2 Omicron variant in southern 284 Africa. *Nature*, doi:doi: https://doi.org/10.1038/d41586-021-03832-5 (2021).
- 285 2 Cele, S. et al. Omicron extensively but incompletely escapes Pfizer BNT162b2 neutralization. 286 Nature, doi:doi: https://doi.org/10.1038/d41586-021-03824-5 (2021).
- 287 3 Andrews, N. et al. Effectiveness of COVID-19 vaccines against the Omicron (B.1.1.529) 288 variant of concern. medRxiv, 2021.2012.2014.21267615, doi:10.1101/2021.12.14.21267615 289 (2021).
- 290 4 Garcia-Beltran, W. F. et al. mRNA-based COVID-19 vaccine boosters induce neutralizing 291 immunity against SARS-CoV-2 Omicron variant. medRxiv, 2021.2012.2014.21267755, doi:10.1101/2021.12.14.21267755 (2021). 292
- Cao, Y. et al. B.1.1.529 escapes the majority of SARS-CoV-2 neutralizing antibodies of diverse 293 5 epitopes. bioRxiv, 2021.2012.2007.470392, doi:10.1101/2021.12.07.470392 (2021). 294
- 295 Lu, L. et al. Neutralization of SARS-CoV-2 Omicron variant by sera from BNT162b2 or 6 296 Coronavac vaccine recipients. medRxiv, 2021.2012.2013.21267668, 297 doi:10.1101/2021.12.13.21267668 (2021).
- 7 298 Rössler, A., Riepler, L., Bante, D., Laer, D. v. & Kimpel, J. SARS-CoV-2 B.1.1.529 variant 299 (Omicron) evades neutralization by sera from vaccinated and convalescent individuals. 300 medRxiv, 2021.2012.2008.21267491, doi:10.1101/2021.12.08.21267491 (2021).
- 301 8 Planas, D. et al. Considerable escape of SARS-CoV-2 variant Omicron to antibody 302 neutralization. bioRxiv, 2021.2012.2014.472630, doi:10.1101/2021.12.14.472630 (2021).
- 303 9 Wolter, N. et al. Early assessment of the clinical severity of the SARS-CoV-2 Omicron variant 304 in South Africa. medRxiv, 2021.2012.2021.21268116, doi:10.1101/2021.12.21.21268116 305 (2021).
- 306 10 Davies, M.-A. et al. Outcomes of laboratory-confirmed SARS-CoV-2 infection in the Omicron-307 driven fourth wave compared with previous waves in the Western Cape Province, South 308 Africa. medRxiv, 2022.2001.2012.22269148, doi:10.1101/2022.01.12.22269148 (2022).
- Cele, S. et al. SARS-CoV-2 evolved during advanced HIV disease immunosuppression has 309 11 310 Beta-like escape of vaccine and Delta infection elicited immunity. medRxiv (Accepted Cell 311 Host & Microbe), doi:10.1101/2021.09.14.21263564 (2021).
- 312 12 Keeton, R. et al. SARS-CoV-2 spike T cell responses induced upon vaccination or infection 313 remain robust against Omicron. medRxiv, 2021.2012.2026.21268380, 314 doi:10.1101/2021.12.26.21268380 (2021).
- Meng, B. et al. SARS-CoV-2 Omicron spike mediated immune escape, infectivity and cell-cell 315 13 316 fusion. bioRxiv, 2021.2012.2017.473248, doi:10.1101/2021.12.17.473248 (2021).
- 317 14 McMahan, K. et al. Reduced Pathogenicity of the SARS-CoV-2 Omicron Variant in Hamsters. 318 bioRxiv, 2022.2001.2002.474743, doi:10.1101/2022.01.02.474743 (2022).
- 319 Bentley, E. G. et al. SARS-CoV-2 Omicron-B.1.1.529 Variant leads to less severe disease than 15 320 Pango B and Delta variants strains in a mouse model of severe COVID-19. bioRxiv, 321 2021.2012.2026.474085, doi:10.1101/2021.12.26.474085 (2021).
- 322 Madhi, S. A. et al. South African Population Immunity and Severe Covid-19 with Omicron 16 323 Variant. medRxiv, 2021.2012.2020.21268096, doi:10.1101/2021.12.20.21268096 (2021).
- 324 17 Khan, K. et al. Immunogenicity of SARS-CoV-2 infection and Ad26.CoV2.S vaccination in 325 people living with HIV. Clin Infect Dis, doi:10.1093/cid/ciab1008 (2021).

326	18	Sigal, A. Milder disease with Omicron: is it the virus or the pre-existing immunity? <i>Nature</i>
327		Reviews Immunology, doi:10.1038/s41577-022-00678-4 (2022).
328	19	A minimal common outcome measure set for COVID-19 clinical research. Lancet Infect Dis
329		20 , e192-e197, doi:10.1016/s1473-3099(20)30483-7 (2020).
330	20	Cele, S. et al. Escape of SARS-CoV-2 501Y.V2 from neutralization by convalescent plasma.
331		Nature 593 , 142-146, doi:10.1038/s41586-021-03471-w (2021).
222		
332		

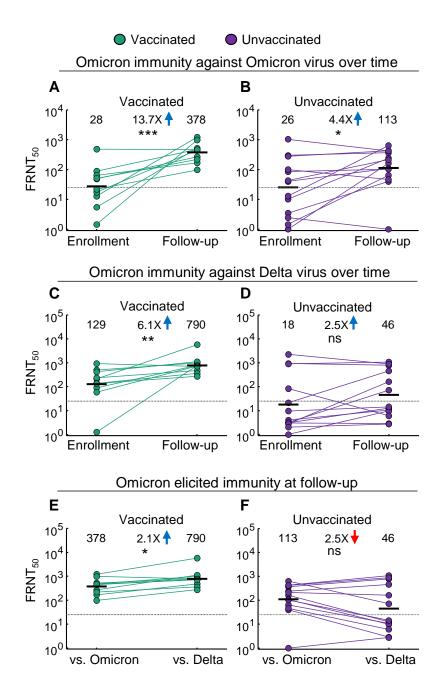


Figure 1: Enhancement of Delta neutralization by Omicron infection. (A) Neutralization of Omicron virus by Omicron infection elicited plasma in n=10 convalescent vaccinated participants, (n=5 two doses of Pfizer BNT162b2, n=5 Johnson and Johnson Ad26.CoV2.S). Each participant was sampled at the initial enrollment visit (median 5 days post-symptom onset) and compared to the last follow-up visit (median 23 days post-symptom onset). Numbers are geometric mean titers (GMT) of the reciprocal plasma dilution (FRNT₅₀) resulting in 50% neutralization. Fold-change is calculated by dividing the larger GMT value by the smaller value and arrows indicate direction of change between enrollment and follow-up. Dashed line is most concentrated plasma tested. (B) as in (A) for the n=13 unvaccinated participants. (C) Neutralization of Delta virus by Omicron infection elicited plasma in the vaccinated participants. (D) as in (C) for the unvaccinated participants at the last follow-up visit. Arrow indicates direction of change between Omicron and Delta virus. (F) as in (E) for the unvaccinated participants. p-values were: (A) 6.6×10^{-4} , (B) 0.031, (C) 2.3×10^{-3} , (D) 0.15, (E) 0.032, (F) 0.79 as determined by the Wilcoxon rank sum test.

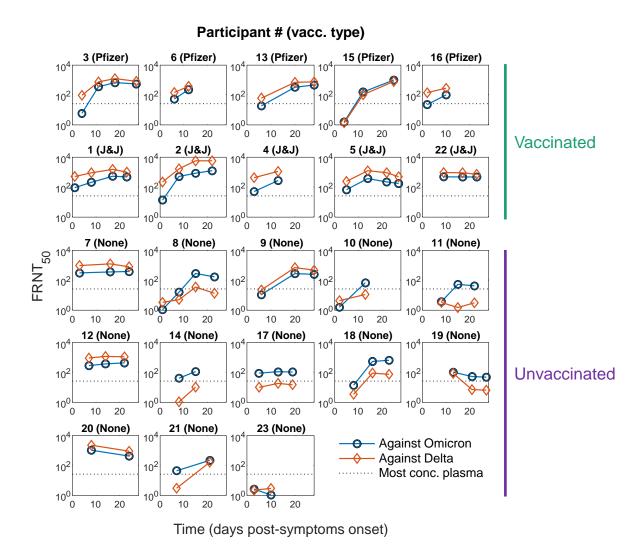


Figure 2: Omicron and Delta neutralization capacity over time in Omicron infected participants. Neutralization of Omicron (blue) and Delta (red) at all study visits. Participant number is as in Table S3. First row are Pfizer BNT162b2 vaccinated, second row are Johnson and Johnson Ad26.CoV2.S vaccinated, and bottom three rows are unvaccinated participants. X-axis is the time post-symptom onset when sample was collected, and y-axis is neutralization as FRNT₅₀. Dashed line is the most concentrated plasma tested.

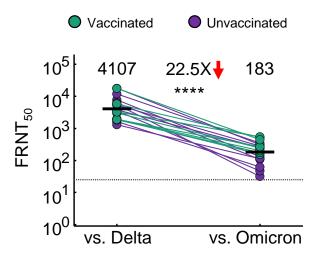


Figure 3: Escape of Omicron virus from Delta infection elicited immunity. Neutralization of Delta compared to Omicron virus by Delta infection elicited plasma immunity in vaccinated and unvaccinated participants. 18 samples were tested from n=14 participants infected during the Delta infection wave in South Africa (see Table S4). Dashed line is the most concentrated plasma tested. p-value is 1.6×10^{-7} as determined by the Wilcoxon rank sum test.

Table S1: Read counts of majority and minority genotypes detected in outgrown virus used in experiments

Amino Acid change	Nucleotide change	Codon change	Reads
<u>A67V</u>	21762C>T	21761 GCT>GTT	GCT - 45 GTT - 3134
*H69_V70del	21766_21771delACATGT	21766_21771ACATGT>del	ACATGT - 0 del - 1132
<u>T95I</u>	21846C>T	21845 ACT>ATT	ACT - 53 ATT - 2171
*G142D	21987_21989delGTG	21987_21989GTG >del	GTG – 0 del – 1572
*V143_Y145del	21990_21995delTTTATT	21990_21995TTTATT >del	TTTATT - 0 del - 1572
<u>*L212I</u>	22194_22196delATT	22194_22196ATT >del	ATT – 114 del – 2897
*R214_D215	22204_22205insGAGCCAGAA	22204_22205GAGCCAGAA >ins	WT - 808 insGAGCCAGAA - 1316
<u>G339D</u>	22578G>A	22577 GGT>GAT	GGT – 104 GAT – 3519
<u>S371L</u>	22674C>T	22674 TCC>CTC	TCC - 41 CTC - 1359
<u>S373P</u>	22679T>C	22679 TCA>CCA	TCA - 64 CCA - 1696
<u>S375F</u>	22686C>T	22685 TCC>TTC	TCC - 25 TTC - 1569
<u>K417N</u>	22813G>T	22811 AAG>AAT	AAG – 36 AAT – 1885
<u>N440K</u>	22882T>G	22880 AAT>AAG	AAT – 294 AAG – 1579
<u>G446S</u>	22898G>A	22898 GGT>AGT	GGT – 74 AGT – 1686
<u>\$477N</u>	22992G>A	22991AGC>AAC	AGC - 18 AAC - 1917
<u>T478K</u>	22995C>A	22994ACA>AAA	ACA – 55 AAA – 1968
<u>E484A</u>	23013A>C	23012GAA>GCA	GAA – 32 GCA – 1903
<u>Q493R</u>	23040A>G	23039CAA>CGA	CAA – 4 CGA – 2060
<u>G496S</u>	23048G>A	23048GGT>AGT	GGT – 53 AGT – 1734
<u>Q498R</u>	23055A>G	23054CAA>CGA	CAA – 28 CGA – 1733
<u>N501Y</u>	23063A>T	23063AAT>TAT	AAT – 49 TAT – 1812
<u>Y505H</u>	23075T>C	23075TAC>CAC	TAC - 55 CAC - 1451
<u>T547K</u>	23202C>A	23201ACA>AAA	ACA - 10 AAA - 1655
<u>D614G</u>	23403A>G	23402GAT>GGT	GAT – 17 GGT – 1398
<u>H655Y</u>	23525C>T	23525CAT>TAT	CAT – 26 TAT – 1556
<u>N679K</u>	23599T>G	23597AAT>AAG	AAT – 21 AAG – 1245
<u>P681H</u>	23604C>A	23603CCT>CAT	CCT - 0 CAT - 535
<u>N764K</u>	23854C>A	23852AAC>AAA	AAC – 23 AAA – 290
<u>D796Y</u>	23948G>T	23948GAT>TAT	GAT – 8 TAT – 217
<u>N856K</u>	24130C>A	24128AAC>AAA	AAC – 9 AAA – 155
<u>Q954H</u>	24424A>T	24422CAA>CAT	CAA – 9 CAT – 298
<u>N969K</u>	24469T>A	24467AAT>AAA	AAT – 31 AAA – 392
<u>L981F</u>	24503C>T	24503CTT>TTT	CTT - 112 TTT - 347

^{*}Only deletions or insertions where the adjacent codon was preserved were counted. WT – Wild Type i.e reads without the insertion.

Table S2: Summary characteristics of Omicron infected participants

	All	Vaccinated	Unvaccinated
Number Participants	23	10	13
Age*	32 (26-37)	36 (33-36)	26 (26-34)
Male sex	10 (43%)	4 (40%)	6 (46%)
Days post-vaccination		140 (116-192)	
Days post-symptom onset to enrolment	5 (3-8)	4 (2-6)	7 (3-8)
Days post-symptom onset to last follow-up	23 (17-25)	23 (15-27)	22 (19-24)

^{*}Median (IQR).

Table S3: Detailed characteristics of Omicron infected participants

Participant #	Age	Sex	Vacc. type	Vacc. date	Days post-vacc. to enroll.	Date symptom onset	Ct enroll.	Symptoms onset to last follow-up	GISAID ID of infecting virus
1	30-39	М	AD26.COV	Mar-2021	278	Dec-2021* 25 23			
2	30-39	М	AD26.COV**	Mar-2021	264	264 Nov-2021 14		22	
3	50-59	F	BNT162b2	May-2021	200	Dec-2021	17	27	EPI_ISL_8604915
4	30-39	F	AD26.COV	May-2021	210	Dec-2021	31	13	EPI_ISL_8604910
5	20-29	F	AD26.COV	Sep-2021	89	Dec-2021	24	27	
6	10-19	F	BNT162b2	Jul-2021	157	Dec-2021	23	12	EPI_ISL_8604906
7	20-29	F	No			Nov-2021	UND	24	
8	30-39	М	No			Dec-2021	18	23	EPI_ISL_8604919
9	40-49	F	No			Dec-2021	32	28	EPI_ISL_8604901
10	20-29	М	No			Dec-2021	30	13	EPI_ISL_8604908
11	20-29	F	No			Dec-2021	28	22	EPI_ISL_8604913
12	20-29	F	No			Dec-2021*	UND	22	
13	30-39	М	BNT162b2	Jul-2021	129	Nov-2021	32	28	EPI_ISL_8604916
14	20-29	М	No			Nov-2021	31	15	
15	60-69	F	BNT162b2	May-2021	198	Dec-2021	25	25	EPI_ISL_8604920
16	60-69	М	BNT162b2	Dec-2021	15	Dec-2021	24	10	EPI_ISL_8578311
17	30-39	М	No			Dec-2021	37	19	EPI_ISL_8604923
18	60-69	F	No			Dec-2021#	27	23	EPI_ISL_8578312
19	30-39	М	No			Dec-2021*	31	27	EPI_ISL_8604924
20	20-29	F	No			Dec-2021	37	24	EPI_ISL_8604911
21	20-29	М	No			Dec-2021	28	21	EPI_ISL_8604922
22	30-39	F	AD26.COV	Aug-2021	120	Dec-2021	33	23	
23	20-29	F	No			Dec-2021	30	10	EPI_ISL_8604902

Ct enroll.: qPCR cycle threshold for SARS-CoV-2 at enrollment. UND: Undetectable. AD26.COV: Johnson and Johnson AD26.CoV.2 vaccine. *Reported previous infection. **Boosted with Ad26.CoV2.S in Nov-2021.#Required supplemental O₂.

Table S4: Detailed characteristics of Delta infected participants

Participant #	Age	Sex	Vacc. type	Vacc. date	Days post- vaccination to collection	Date symptom onset	Ct enroll.	Symptom onset to collection	GISAID ID
1	40-49	F				Jul-2021	26	26	EPI_ISL_3722338
2	40-49	М				Jul-2021	31	23#	EPI_ISL_3722335
3	50-59	М				Jul-2021	30	31	
4	50-59	М				Jun-2021	27	37	
5	40-49	М				Jul-2021	35	44	
6	30-39	М				Jul-2021	37	32	
7	70-79	М	BNT162b2	Jun-2021	37	Jul-2021*	37	15	
8	60-69	F	BNT162b2	Nov-2021	14	Aug-2021	UND	116	
9	40-49	F	AD26.COV	May-2021	117	Jul-2021*	UND	31	
10	50-59	F	AD26.COV	Apr-2021	147	Jul-2021*	UND	57	
11 Pre	40-49	М				Aug-2021	35	13#	
11 Post	40-49	М	BNT162b2	Oct-2021	18				
12 Pre	40-49	М				Jul-2021	23	24	EPI_ISL_3939068
12 Post	40-49	М	AD26.COV	Sep-2021	32				
13 Pre	30-39	М				Jul-2021	27	24	EPI_ISL_3939088
13 Post	30-39	М	AD26.COV	Sep-2021	32				
14 Pre	50-59	F				Jul-2021	27	23#	EPI_ISL_3447779
14 Post	50-59	F	BNT162b2	Oct-2021	22				

^{*}Asymptomatic. Date of diagnostic swab used instead of symptoms onset. *Breakthrough infection. Ct enroll.: qPCR cycle threshold for SARS-CoV-2 at enrollment. UND: Undetectable. AD26.COV: Johnson and Johnson AD26.CoV.2 vaccine. Pre: sample taken pre-vaccination. Post: sample taken post-vaccination for a participant with a pre-vaccination sample.